

Instituto de Física Teórica presents:

PROGRESS IN AdS_4 VACUA

by Fernando Marchesano

*In collaboration with Gonzalo F. Casas, Eran Palti, David Prieto,
Alessandro Tomasiello, Joan Quirant & Matteo Zatti*

Based on arXiv: 2003.13578, 2110.11370, 2204.11892 & more

Type IIA AdS₄ vacua

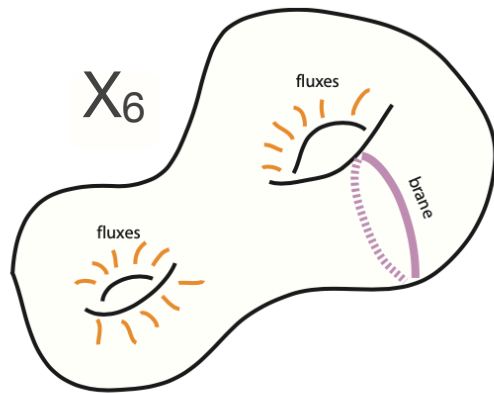
Simplest 10d solution:

Massive type IIA on a SU(3)-structure manifold with fluxes H_3 , F_2 , F_4 , F_6 . SUSY. No localised sources.

Lüst & Tsimpis '04

DGKT-CFI proposal:

Massive type IIA on a CY manifold with O6-planes, D6-branes and fluxes H_3 , F_4 . SUSY and non-SUSY.



– General CY

DeWolfe et al. '05

– T^6 , with also metric fluxes

Camara, Font, Ibanez '05

Not a 10d solution, but LT solution recovered if O6-planes/D6-branes are treated as **smeared sources**

see also Berhndt & Cvetič '04

Deredinger et al. '04

Villadoro & Zwickner '05

Acharya, Benini, Valandro '06

Why do we care about DGKT vacua?

Main Features:

- 4d EFT analysis based on W and K

Grimm & Louis '04

- Infinite family of vacua indexed by internal 4-form flux: $e \sim \int_S F_4 \sim \text{Vol}(S)$

$$V_{\text{CY}} \sim e^{3/2}, \quad g_s \sim e^{-3/4}, \quad M_{\text{P}} R_{\text{AdS}} \sim e^{9/4}, \quad \frac{R_{\text{AdS}}}{R_{\text{KK}}} \sim e^{1/2}$$

- Other fluxes bounded by tadpole constraint: $mh + N_{\text{D6}} = 4$

The diagram shows the equation $mh + N_{\text{D6}} = 4$ with three arrows pointing to its terms: an arrow from F_0 to m , an arrow from H to h , and an arrow from O6-plane to N_{D6} .

$$F_0 \quad H \quad \text{O6-plane}$$

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Obtained without fluxes

Grimm & Louis '04

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Scale separation

- Other fluxes bounded by tadpole constraint: $mh + N_{\text{D6}} = 4$

F_0

H

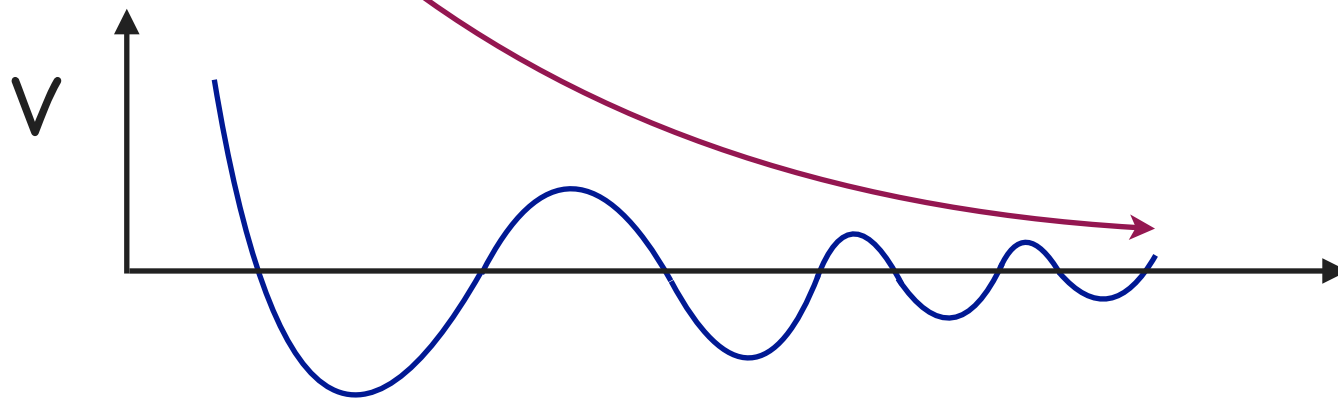
O6-plane

AdS Swampland conjectures

AdS Distance Conjecture

Lüst, Palti, Vafa '19

$$M_{\text{tower}} \sim 1/R_{\text{AdS}}^\alpha \quad \alpha > 0$$



strong ADC: $\alpha = 1$ for SUSY
Based on holographic intuition

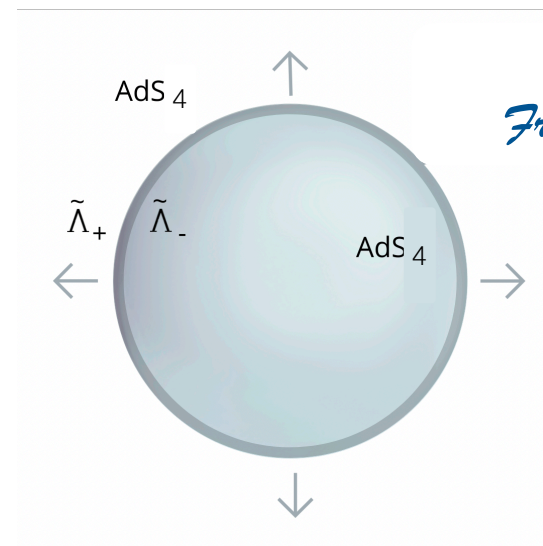
DGKT predicts $\alpha = 7/9$

(but holographic dual unknown)

AdS Instability Conjecture

Non-SUSY vacua unstable via membrane nucleation

Refined WGC: per each independent flux there must be a **membrane with $Q > T$** discharging it



Ooguri & Vafa '16

Freivogel & Kleban '16

Do DGKT-like vacua obey it?

Two viewpoints



Smearing of localised sources is natural below the KK scale

Kähler potential unaffected by fluxes due to weak coupling (even if they are not diluted)



4d analysis should capture the relevant 10d physics



Scale separation is very unusual from the 10d eom viewpoint

In DGKT you mix ingredients that are only understood separately (Romans mass, O-planes)

Do not trust AdS vacua without known holographic duals

4d progress: Landscape of vacua

General flux potential for type IIA on large-volume CY with O6-planes.
Bilinear structure that factorises axions and saxion.

Herráez et al. '18


Allows for simple classification of CY vacua. **Several branches.**
Universal ones are of the form:

F.M. & Zuirant '19

$$H = a F_0 g_s \text{Re} \Omega_{\text{CY}} \quad F_2 = b F_0 J_{\text{CY}} \quad 1/R_{\text{AdS}}^2 = d g_s^2 F_0^2$$

$$F_4 = c F_0 J_{\text{CY}} \wedge J_{\text{CY}} \quad F_6 = 0 \quad M_{\text{KK}} \sim 1/R_{\text{AdS}}^{7/9}$$

Branch	a	b	c	d	SUSY	Pert. Stable	Zero modes
A+	2/5	0	3/10	1/25	Yes	Yes	N
A-	2/5	0	-3/10	1/25	No	Yes	N
B	1/2	$\pm 1/2$	-1/4	1/24	No	Yes	2N

 $F_4 \rightarrow -F_4$

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$F_4 \rightarrow -F_4$

Non-perturbative stability analysed for the case of $T^6/\mathbb{Z}_3 \times \mathbb{Z}_3$,
Found only **marginal decays**, mediated by D4-branes on 2-cycles.

Narayan & Trivedi '10

10d progress: approximate solutions

Understand the 10d background as an expansion on g_s , $1/R_{\text{AdS}}$ or $V_{\text{CY}}^{-2/3}$

$$ds_{10d}^2 = ds_{\text{AdS}_4}^2 \times ds_{\text{CY}}^2 + g_s [ds_{10d}^2]_{(1)} + \dots$$

Saracco & Tomasiello '04

$$F_{2p} = F_{2p}^{(0)} + g_s F_{2p}^{(1)} + \dots$$



4d setup constrain the harmonic piece of the fluxes

- Applied to eom

Junghans '20

- Applied to SUSY eqs.

F.M., Palti, Zuirant, Tomasiello '20

The results display scale separation and reproduce the set of 4d vacua

CY-ish compactifications

F.M., Palti, Zuirant, Tomasiello '20

$SU(3) \times SU(3)$ deformation of a CY background: $ds^2 = e^{2A} ds_{\text{AdS}_4}^2 + ds_{X_6}^2$

$$J = J_{\text{CY}} + \mathcal{O}(g_s^2)$$

$$e^{-A} = 1 + g_s \varphi + \mathcal{O}(g_s^2)$$

$$\Omega = \Omega_{\text{CY}} + g_s k + \mathcal{O}(g_s^2)$$

$$e^\phi = g_s (1 - 3g_s \varphi) + \mathcal{O}(g_s^3)$$

Solution to F_2 Bianchi Identity:

$$\Delta_{\text{CY}} K = \frac{2}{5} F_0^2 g_s \text{Re} \Omega_{\text{CY}} + (N_{D6} - 4) \delta_{\Pi_{06}}$$

\rightarrow

$$K = \varphi \text{Re} \Omega_{\text{CY}} + \text{Re } k$$

$$\Delta_{\text{CY}} \varphi \propto \left(\frac{V_{\Pi_{06}}}{V_{\text{CY}}} - \delta_{\Pi_{06}}^{(3)} \right)$$

$(2,1)$ primitive

blows-up near
the O6-planes

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Background fluxes:

$$H = a F_0 g_s (\text{Re } \Omega_{\text{CY}} + m g_s k) - \frac{n}{2} g_s d \text{Re } (\bar{v} \cdot \Omega_{\text{CY}}) + \mathcal{O}(g_s^3)$$

F.M., Zuirant, Prieto '21

F.M., Zuirant, Zatti

$$F_2 = b F_0 J_{\text{CY}} + d_{\text{CY}}^\dagger k + \mathcal{O}(g_s)$$

$$F_4 = F_0 J_{\text{CY}} \wedge J_{\text{CY}} \left(c - \frac{4}{5} g_s \varphi \right) + n J_{\text{CY}} \wedge d \text{Im } v + \mathcal{O}(g_s^2)$$

$$v = \partial_{\text{CY}} f_\star$$

$$\Delta_{\text{CY}} f_\star = -g_s 8 F_0 \varphi$$

	a	b	c	m	n
A+	2/5	0	3/10	1	1
A-	2/5	0	-3/10	-2	-1/5
B	1/2	±1/2	-1/4	-1	0

Questions

i) What about higher order terms in the expansion?

Technically involved: one does not know the solution for intersecting sources, even in the absence of fluxes. Also further corrections like closed string loops.

ii) Are the non-SUSY branches non-perturbatively stable?

Obvious thing to check: **WGC for membranes**

iii) What are the holographic duals of the SUSY branch?

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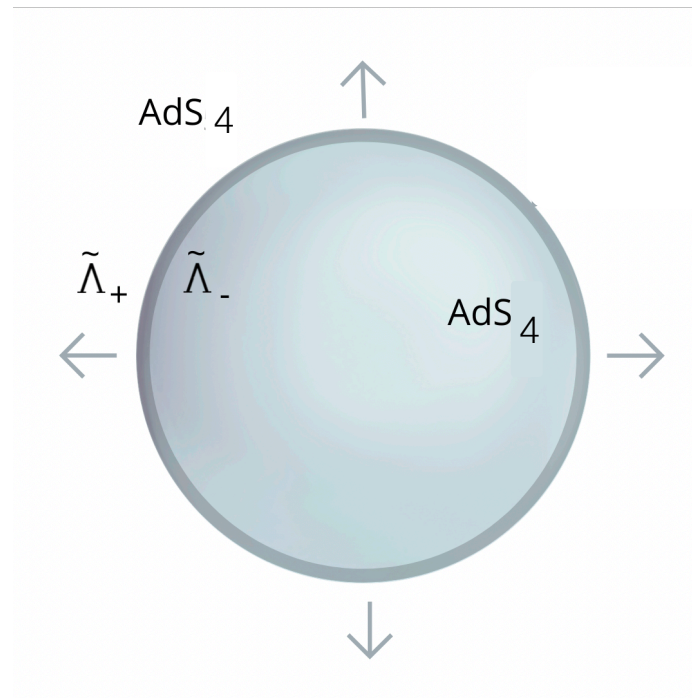
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Membranes & non-perturbative stability

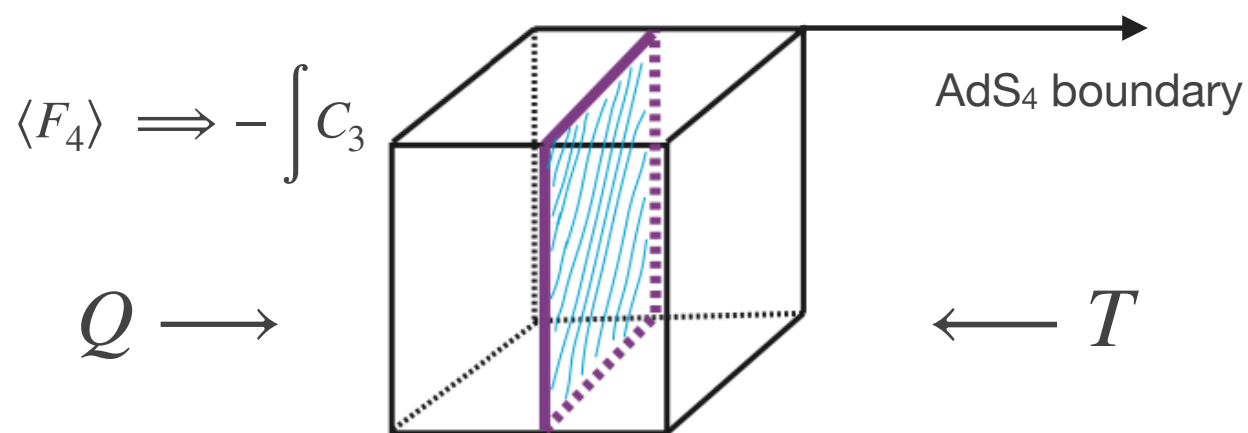
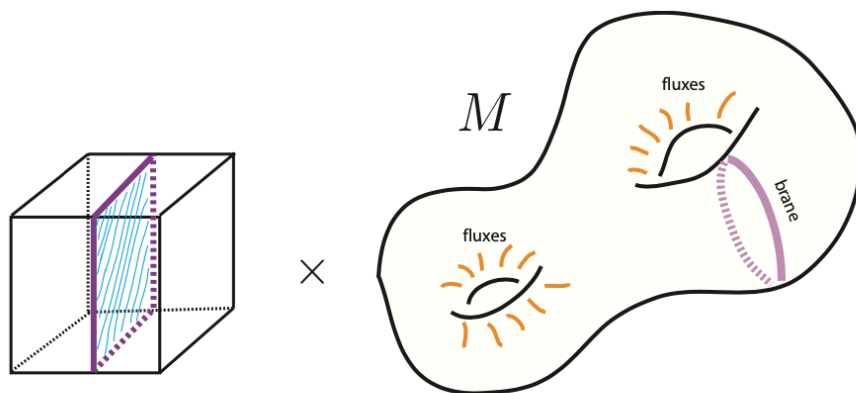


4d membranes in DGKT

Non-perturbative stability \rightarrow analysis of 4d membranes

We consider probe membranes in the Poincaré patch of AdS_4 :

Maldacena, Michelson, Strominger '98



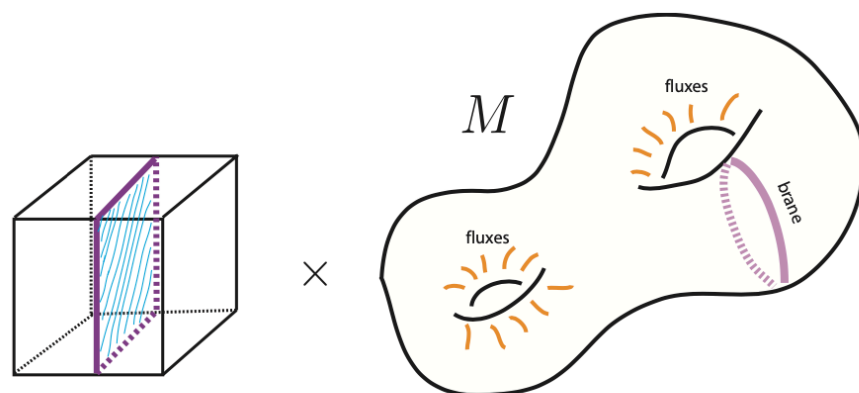
$$Q \geq T$$

WGC for membranes

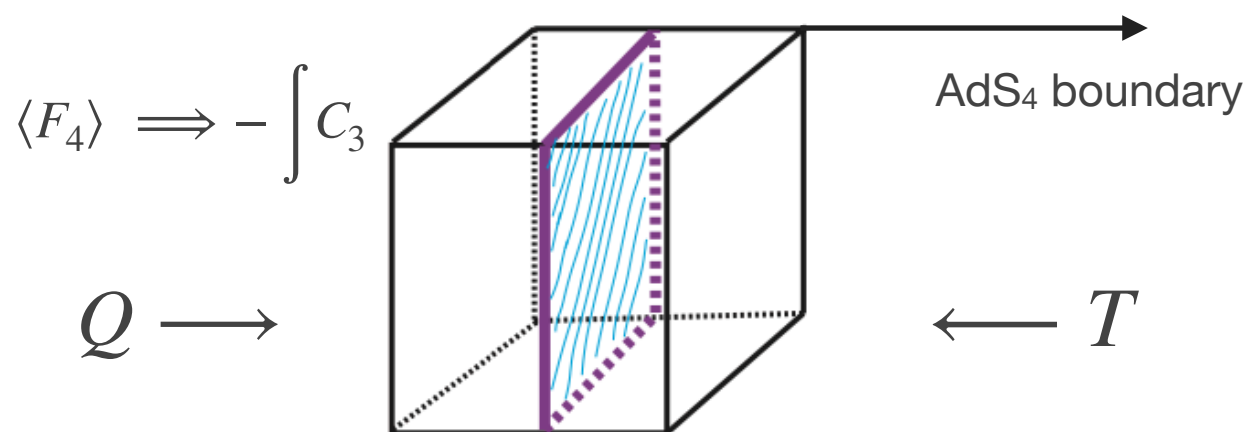
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Ooguri & Vafa '16

$$Q > T$$

WGC for membranes
in $N=0$ vacua

4d membranes in A+

F.M., Zuirant, Prieto '21

Let us look at **EFT membranes**:

$$\frac{T}{M_P^2} < \Lambda_{\text{EFT}} \leq M_{\text{KK}}$$

Lanza et al. '19 & '20

N=1 vacua A+, smearing approx:

$$Q_{D2} = 0, \quad Q_{D4} = e^{K/2} \int_{\Sigma} J_{\text{CY}},$$



cannot be BPS



BPS for Σ holom.

$$Q_{D6} = 0, \quad Q_{D8} = -\frac{5}{3} e^{K/2} q_{D8} V_{\text{CY}}$$



cannot be BPS

*recovers Narayan & Trivedi. '10
Aharony, Antebi, Berkooz '08*

4d membranes in A+

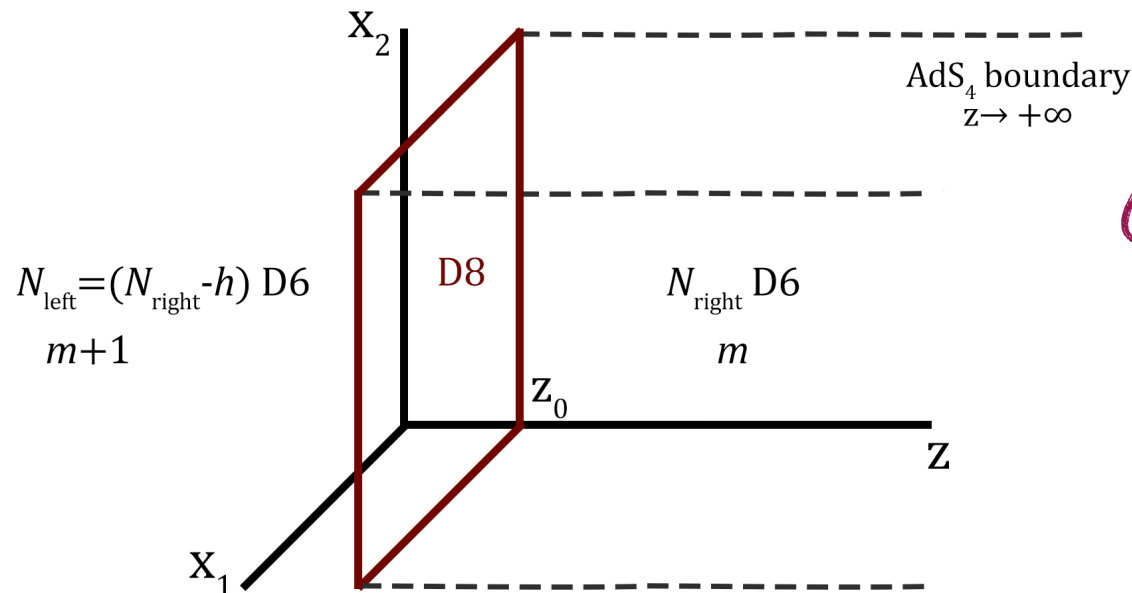
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$$Q_{D8}^{\text{eff}} = e^{K/2} q_{D8} V_{\text{CY}}$$

BPS for $q_{D8} = 1$

4d membranes in A-

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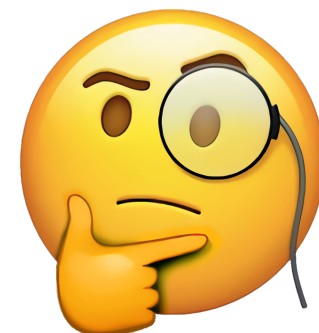


BPS for Σ antiholom.



BPS for $q_{D8} = 1$

Refined WGC predicts that $Q > T$ for D4 and D8-branes!



4d membranes in A-

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Lanza et al. '19 & '20

N=0 vacua A-, smearing approx:

$$Q_{D2} = 0, \quad Q_{D4} = - e^{K/2} \int_{\Sigma} J_{\text{CY}}, \quad Q_{D6} = 0, \quad Q_{D8}^{\text{eff}} = e^{K/2} q_{D8} V_{\text{CY}}$$

Way out: we may consider **D8/D4 bound states**:

$$T_{D8}^{\text{total}} = T_{D8} + K^F - K^{(2)}$$

$$K^F = \frac{1}{2} \int_{X_6} F \wedge F \wedge J_{\text{CY}} > 0$$

$$K^{(2)} = \frac{1}{24} \int_{X_6} c_2(X_6) \wedge J_{\text{CY}}$$

$$Q_{D8}^{\text{total}} - T_{D8}^{\text{total}} = 2 (K^{(2)} - K^F) > 0 \text{ when}$$

$$K^{(2)} > 0, \quad K^F = 0$$

→ Instability!!

Beyond smearing — D4's

F.M., Zuirant, Prieto '21

N=1 vacua A+:

$$F_6 = -\text{vol}_4 \wedge \left[J_{\text{CY}} \frac{3}{g_s R_{\text{AdS}}} + \frac{1}{2} dd_{\text{CY}}^\dagger (f_\star J_{\text{CY}}) \right] + \mathcal{O}(g_s^2) \longrightarrow Q_{D4} = e^{K/2} \int_\Sigma J_{\text{CY}}$$

N=0 vacua A-:

$$F_6 = -\text{vol}_4 \wedge \left[J_{\text{CY}} \frac{3}{g_s R_{\text{AdS}}} - \frac{1}{10} dd_{\text{CY}}^\dagger (f_\star J_{\text{CY}}) \right] + \mathcal{O}(g_s^2) \longrightarrow Q_{D4} = -e^{K/2} \int_\Sigma J_{\text{CY}}$$

Still marginal at this order of approximation

Beyond smearing — D8's in A-

F.M., Zuirant, Prieto '21

D8-brane worldvolume also hosts localised sources:

(2,0) comp.

$$d\mathcal{F} = H - h\delta_{\Pi_{O_6}} \implies \mathcal{F} = \mathcal{F}_h + \mathcal{F}_{\text{BIon}}$$

Blon-like solution!

$$T_{\text{D8}}^{\text{total}} = T_{\text{D8}} + K^F - K^{(2)}$$

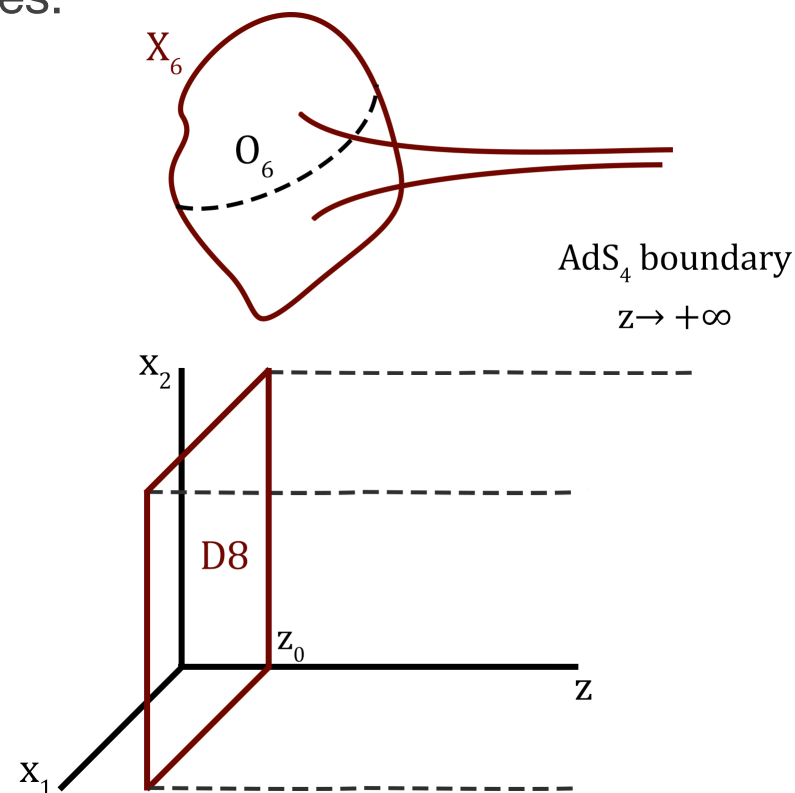
New contribution due to $\mathcal{F}_{\text{BIon}}$

Blon excess charge:

$$2\Delta_{\text{D8}}^{\text{Bion}} = -e^{K/2} \int_{X_6} J_{\text{CY}} \wedge \mathcal{F}_{\text{BIon}}^2$$

comparable to $K^{(2)}$

can have both signs



Beyond smearing — D8's in A-

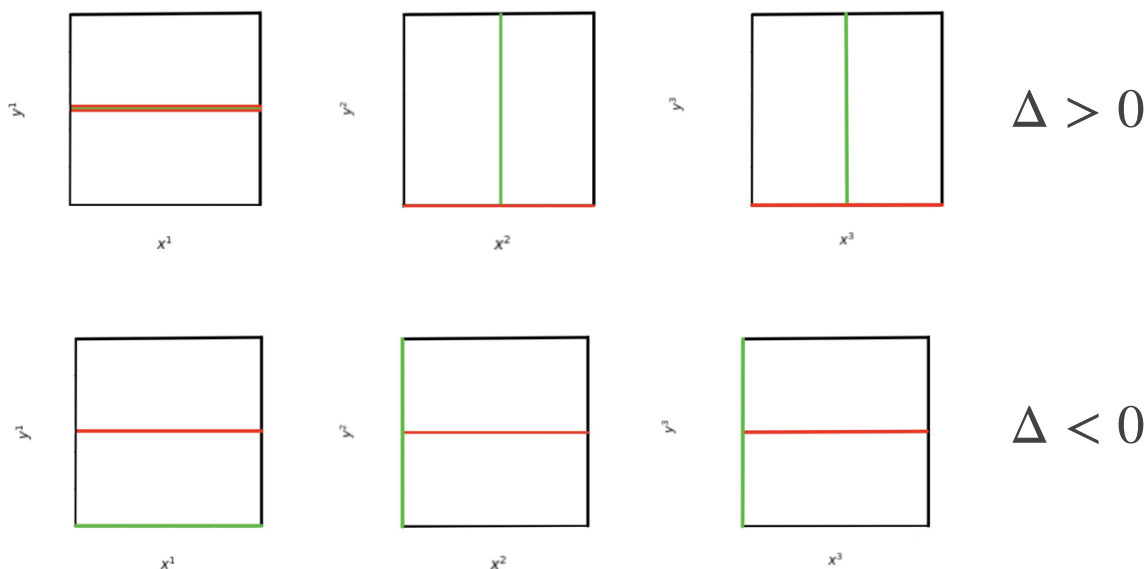
Casas, F.M., Prieto '22

One can compute the Blon excess charge in toroidal orbifolds:

$$\Delta_{\text{D8}}^{\text{Bion}} = \sum_{(\alpha,\beta) \in \mathcal{N}=2} \Delta_{\alpha,\beta}$$

Only D6-brane pairs at SU(2) angles contribute

The sign of Δ depends on their separation



Beyond smearing — D8's in A-

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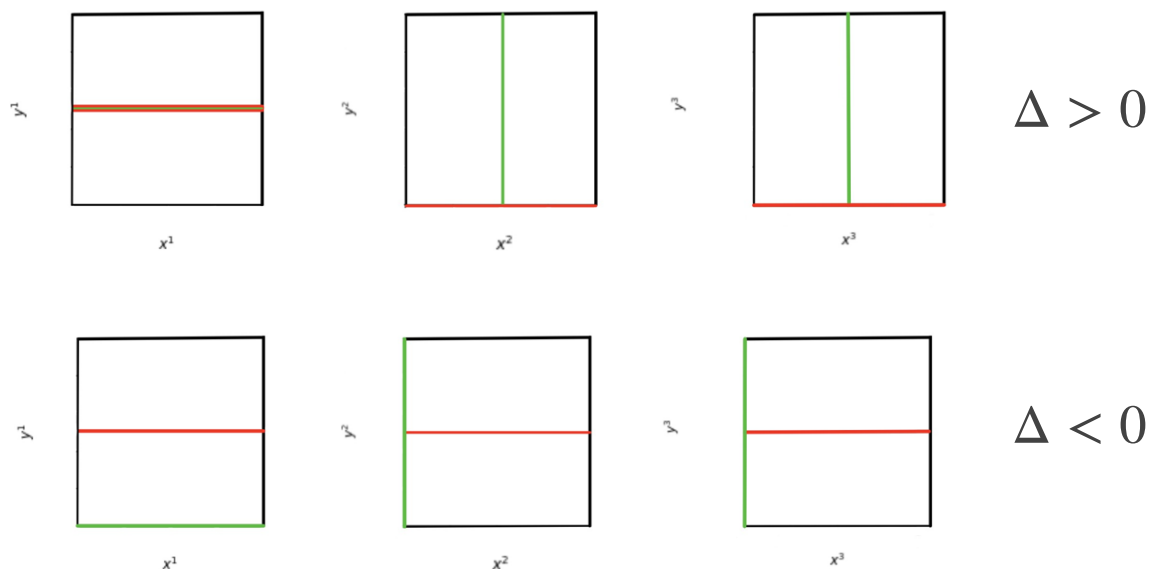
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Can build vacua for which

$$Q_{\text{D8}}^{\text{total}} - T_{\text{D8}}^{\text{total}} < 0$$



More exotic bound states

F.M., Zuirant, Zatti

In N=1 vacua there are **more exotic BPS bound states**:

Anti-D8-brane with: $\mathcal{F} \wedge \mathcal{F} = 3J_{\text{CY}} \wedge J_{\text{CY}}$ D8/D6/D4/D2 bound state

D6-brane with: $\mathcal{F} \wedge \mathcal{F} = J_{\text{CY}} \wedge J_{\text{CY}}$ D6/D4/D2 bound state

In **DGKT-like vacua**, they exist thanks to the **rational vevs** that $b = \int B$ and $t = \int J_{\text{CY}}$ take!

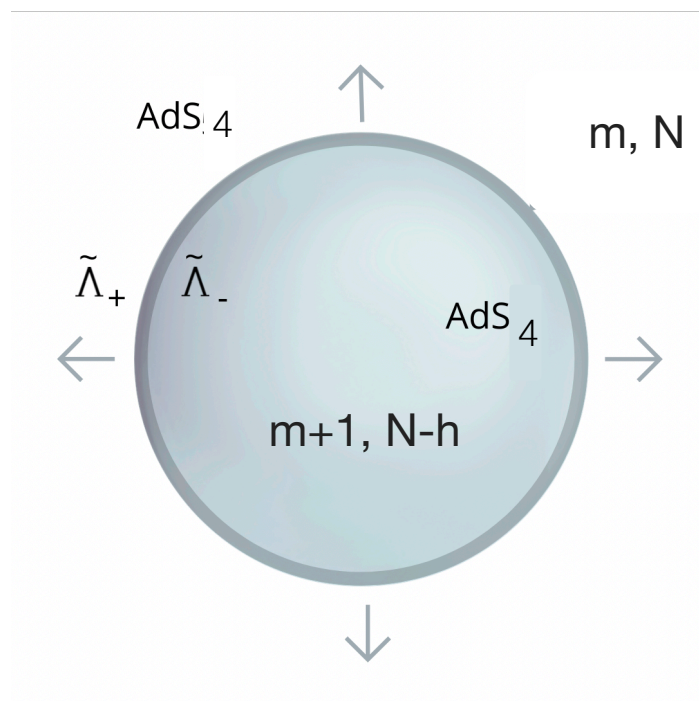
Considering these more exotic bound states, one finds **membranes with $Q > T$** in both branches of **non-SUSY vacua**

see J. Zuirant's talk

Current WGC-membrane picture

F.M., Zuirant, Zatti

Branch	SUSY	Pert. Stable	rWGC D ₄	rWGC D ₈	Np Stable
A+	Yes	Yes	✓	✓	Yes
A-	No	Yes	Marginal	✓	Unclear if no D6-branes
B	No	Yes	✓	✓	No

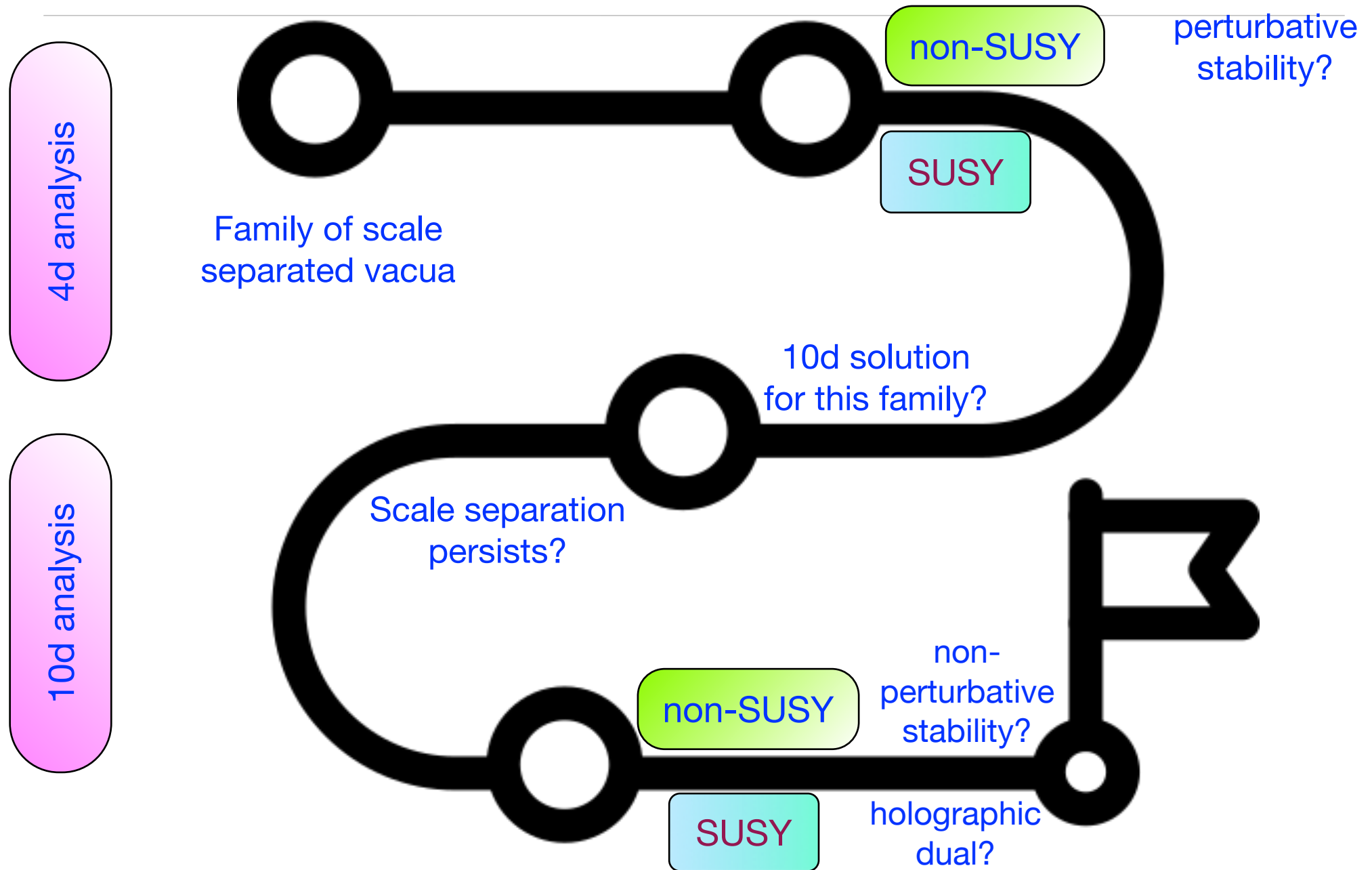


Nucleating bubble that increases F_0 and decreases N_{D6}

→ It stops when $N_{D6} = 0$

→ Suggests that models with gauge sectors are particularly unstable

AdS₄ road map



Further families

One can take a DGKT-like model on $T^6/(\mathbb{Z}_2 \times \mathbb{Z}_2)$ and perform **two T-dualities**

$H \rightarrow$ metric fluxes

$$F_0, F_4 \rightarrow F_2, F_6$$

Banks & van den Broeck '06

Caviezel et al. '08

Cribiori et al. '21

Standard type IIA compactification on a non-CY manifold

One can consider a particular **non-homogeneous flux scaling**, such that **scale separation** is achieved

Via a **4d analysis**, one can generalise this construction to **more general** elliptically fibered **manifolds**

see D. Prieto's talk

Conclusions

- We have analysed the **DKGT-CFI proposal** from 4d and 10d perspectives
- From a 4d perspective we find one SUSY family and **three infinite families of non-SUSY vacua** for any CY, with similar scale separation properties
- From a 10d perspective obtain an **approximate solution for all branches of solutions up to $\mathcal{O}(g_s^{4/3})$** : the smearing approximation is the leading order term
- We have analysed **4d membranes** for each branch of solutions, to see if they can trigger **non-perturbative decays** via nucleation.
- The refined **WGC prediction $Q > T$** is found in all non-SUSY cases, **except for D4-branes** in A- vacua, for which $Q=T$ at this level of approximation
- In most cases, the membrane satisfying the refined WGC is quite exotic, as it involves **non-diluted worldvolume fluxes**
- Next step: **holographic duals** and **other constructions** with similar properties

Instituto de Física Teórica presents:

BACK TO THE SWAMP

Madrid, 26-28 September 2022



EFTst

Naive
model

Quantum
Gravity